



PERSONAL FINANCIAL INVENTORY

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DOCUMENTS NEEDED FOR FINANCIAL PLANNING

Investment Information

- Copies of most recent statement of holdings
(Include mutual funds, savings accounts, IRAs, corporate savings and thrift programs, stock option grants, vested pension program amounts, etc.)

Copies of Company Benefits Booklets or Employee Benefit Program Descriptions

- Group Health and Dental Insurance
- Group/Individual Disability Income Insurance
- Group Life Insurance
- Pension and Profit Sharing Plans
- Stock Option Programs
- Deferred Compensation Programs

Copies of Most Recent Insurance and Annuity Contracts

- Life Insurance
- Disability Income
- Long Term Care
- Professional Liability Insurance Policies
- Property and Casualty Insurance (including Umbrella Liability/Catastrophe Policy)

Copies of Most Recent Checking, Savings and/or CD Account Statements

Copies of Wills and Trust Documents (including Life Insurance Trusts and Family Limited Partnership Documents)

Business Agreements

Tax Returns, including 1099s and K-1s

Copies of Real Estate Deeds, especially for out of state property

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Advisors

CPA	Phone #	Email
Attorney	Phone #	Email
Insurance Agent	Phone #	Email
Broker	Phone #	Email
Other	Phone #	Email

Real Property (house, land, etc.)

Description/Address	Property Value	Liability
1. Primary Residence	\$	\$
Mortgage Holder		Titling
2.	\$	\$
Mortgage Holder		Titling
3.	\$	\$
Mortgage Holder		Titling
Automobiles		
Description	Estimated Value	Liability (if any)
1.	\$	\$
2.	\$	\$
3.	\$	\$
Personal Property (jewelry, furniture, art, etc.)		
Estimated Value		

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Lifestyle Goals

If still working, at what age would you like to retire and be financially independent?	
If you were financially independent, how much spendable monthly income would you need?	\$
If currently retired, how much spendable income in retirement do you desire?	\$
Where do you plan to retire?	
Will you keep your current home? Yes No	What is your anticipated pension? \$
Do you want Social Security estimates utilized in your Financial Independent Analysis?	Yes No
Assumed Asset Rate of Return during Financial Independence?	
4% 5% 6% Other	

Investment Experience

Investment Experience		1-5 years	6-10 years	11-20 years	20+ years		
Bonds	yrs	Stocks	yrs	Annuities	yrs	Options	yrs
Mutual Funds	yrs	Margin	yrs	Partnerships	yrs		yrs



www.botsfordfinancial.com

3001 Dallas Parkway, Suite 100 | Frisco, TX 75034 | P 214.423.4200 | TF 866.980.3336 | F 214.423.4211

Five Concourse Parkway, Suite 1215 | Atlanta, GA 30328 | P 770.391.4555 | TF 866.980.3336 | F 770.391.9811

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